

Configurable Out-of-the-Box Reports & Dashboards

Corporate Governance

- ▲ [Resource Allocation](#)
- ▲ [Event Log](#)
- ▲ [Risk Ripple Report](#)
- ▲ [Issues Summary Report](#)
- ▲ [Policy Attestation Status Report](#)
- ▲ [Risk Library without Related Business Processes Report](#)

Risk Reports

- ▲ [Risk Assessment Dashboard](#)
- ▲ [Risk Assessment Report](#)
- ▲ [Risk Control Matrix Report](#)
- ▲ [Top Risks Report](#)

Readiness Reports

- ▲ [Readiness Crosswalk](#)
- ▲ [Readiness Summary](#)
- ▲ [Readiness Survey](#)

Task Management

- ▲ [Task Report](#)

Third Party Risk Management

- ▲ [Third Party Risk and Criticality Report](#)
- ▲ [Third Party Risk Management Dashboard](#)
- ▲ [Third Party True Risk Report](#)
- ▲ [Third Party Spend](#)

Policy Management

- ▲ [Policy Management Overview](#)

Internal Audit Management

- ▲ [Internal Audit Planning and Scoping](#)
- ▲ [Internal Audit Fieldwork](#)

Risk Performance Overview Report

The Risk Performance Overview report provides a high-level view of completeness by Core Processes or other dimensions, helping Executives, Department Heads, and Risk Management Teams identify business areas requiring additional resources. This report mirrors the in-app **Risk Performance Overview widget** by detailing risks, controls, and other critical factors, offering a clear roadmap for prioritizing risk management efforts and resource allocation.

Business Process		Percent of Risks Assessed		
Information Technology		27.03%		
Operations		43.48%		
Human Resources		80.49%		
Marketing		87.50%		

Business Process		Percent of Risks Mitigated		
Information Technology		24.32%		
Operations		30.43%		
Marketing		62.50%		
Human Resources		70.73%		
Finance		75.00%		

Business Process		Percent of Controls Monitored		
Event Management & Incident Response		60.00%		
Operations		84.62%		
Information Technology		85.71%		
Information Security		92.59%		
Operational Risk Management		95.00%		

Unassessed Risks

Business Process	Plan	Category	Factor	Indicator
Human Resources	BSA/AML Examination Manual	People	Authority and Responsibility	Inadequate accountability for and enforcement of policies and procedures
Human Resources	BSA/AML Examination Manual	People	Hiring, Staffing, and Training	Inadequate background checks, drug testing, references, or other employment verification
Human Resources	BSA/AML Examination Manual	People	Hiring, Staffing, and Training	Inadequate retention of staff and inability to staff key roles successfully
Human Resources	BSA/AML Examination Manual	People	Hiring, Staffing, and Training	Inadequate training and education in core business subject matter

Unmitigated Risks

Business Process	Plan	Category	Factor	Indicator
Human Resources	BSA/AML Examination Manual	People	Authority and Responsibility	Inadequate accountability for and enforcement of policies and procedures
Human Resources	Information Security	People	Authority and Responsibility	Inadequate accountability for and enforcement of policies and procedures
Human Resources	Human Resources	People	Employee Relations	Employee personal injury, both physical and nonphysical
Human Resources	Human Resources	People	Fraud, Theft and Crime	Intellectual capital and property infringement
Human Resources	BSA/AML Examination Manual	People	Hiring, Staffing, and Training	Inadequate background checks, drug testing, references, or other employment verification

Unmonitored Controls

Business Process	Plan	Control
Event Management & Incident Response	PCI SAQ A	Backup and Recovery Procedures
Event Management & Incident Response	Information Security	Backup and Recovery Procedures

Choose Business Process Type Core Process Other Dimension

Event Log Report

The Event Log report consolidates all event-related information in one place, eliminating the need to generate separate reports for different event types.

Managers, Executives, and Department Heads can use this report to quickly access data for root cause analysis and gain insights into event interdependencies, enabling more informed decision-making and streamlined event management.

Choose Event Type Complaint Management ▼

- Business Continuity Events**
- Complaint Management
- Information Security Event
- Issue and Finding Form
- Policy Exception
- Regulatory Change Management
- User Access Reviews
- Vendor Incident
- Vulnerability Management

Back Next

Business Process	Subject	Client Type	Department	Description	Due Date	Email	External Ref	First Name	Last Name	Loss Event Amount	Mailing Address
Finance	Credit Card Statement Issue	Consumer	Finance	Credit card statement (Card No: XXXX-XXXX-XXXX-1234) shows unauthorized charges. Requesting investigation, cancellation of the charges, and replacement card issuance.		david.brown@example.com		David	Brown	0	789 Oak Lane, Suburbia, USA
	Mortgage Payment Issue	Consumer		Mortgage payment (Loan No: XXXX-XXXX-1234) not reflected in the account despite timely submission. Seeking clarification on payment status and avoidance of late fees.		jennifer.jones@example.com		Jennifer	Jones	0	321 Maple Street, Cityville, USA
	Overdraft error	Consumer	Finance	Received a notice of overdraft fees on the checking account (Account No: XXXX-XXXX-9012) despite maintaining a sufficient balance. Requesting reversal of the fees and investigation into the overdraft error.		a.bravo@example.com		Andrew	Bravo	0	234 Birch Lane, Ham USA
Human Resources	Employee was unhelpful	Consumer	HR	Inconsistent information provided by bank representatives regarding account fees and policies. Requesting clarification and transparency in communication.		lisa.wilson@example.com		Lisa	Wilson	0	123 Oak Street, Riverside, USA
Marketing	Unable to Access Website	Consumer		Unable to access online banking portal despite multiple login attempts. Requesting technical support to resolve the login issue promptly.		michael.nguyen@example.com		Mike	Nguyen	0	987 Pine Road, Metropolis, USA
Operations	ATM Malfunction	Consumer	Finance	ATM malfunctioned during withdrawal, debiting the account (Account No: XXXX-XXXX-5678) without dispensing cash. Seeking reimbursement for the deducted amount.		mary.johnson@example.com		Mary	Johnston	0	456 Elm Avenue, Smalltown, USA
	Damaged product	Consumer	Operations	Received a damaged product. Check book was missing pages.		john.smith@example.com		John	Smith	0	23 Main Street, Anytown
	Long wait times	Consumer	Marketing	Experienced long wait times and inadequate communication during a visit		david.johnson@example.com		David	Johnson	0	890 Elm Avenue, Cityville, USA
	Shipment Delay	Consumer		Experienced a delay in receiving an online order (Order No: O789) beyond the estimated delivery date. Inquiring about the status of the shipment and seeking		kim@example.com		Sarah	Kim	0	234 Birch Lane, Ham USA

Risk Ripple Report

The Risk Ripple Report provides a holistic view of risk interconnectivity across the organization. It helps Risk Managers, Analysts, Compliance Officers, Internal Auditors, and Executive Management uncover dependencies and systemic issues that might remain hidden.

By illustrating how risks, taxonomy resources, events, and readiness indicators are interconnected, the report bridges silos, fosters collaboration, and proactively addresses "unknown knowns."

Core Process • Human Resources

Overall Weighted Score: 0.0

Resource	Parent Name	Name	Overall Weighted Score
Applications	3 - Low	DocuSign	2.70
	3 - Low	Slack	3.00
	3 - Low	Zoom	2.00
	1 - Critical	LastPass	4.75
Physical Assets	File Servers	HR File Server	
Policies	Human Resource	Background Check Policy	
	Human Resource	Employee Handbook	
Relationships	4 - Non-Critical	Google	0.00
	1 - Critical	Atlassian	3.00
	2 - Moderate	Workday	3.20
	4 - Non-Critical	Microsoft	1.40
Incident Type	Reported On	Subject	Severity
Complaint Management	Nov 13, 2024	Long wait times	4 - Low
Complaint Management	Nov 4, 2024	Employee was unhelpful	4 - Low
Plan	Category - Factor	Risk Indicator	Residual Risk Level
Accounts Payable	People - Authority and Responsibility	Inadequate accountability for and enforcement of policies and procedures	Medium
Accounts Payable	People - Authority and Responsibility	Unclear roles and responsibilities	High

Issues Summary Report

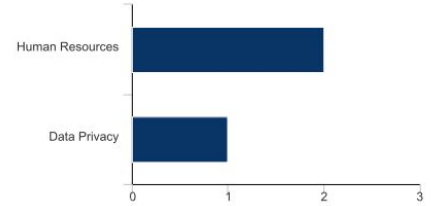
The Issues Summary Report provides a detailed overview of issues by status and priority, enabling Risk Managers, Analysts, and other stakeholders to identify and address critical issues.

The report supports Internal Auditors in tracking resolution progress, Compliance Officers in monitoring regulatory deviations, and Executive Management in assessing the overall health of the risk and compliance environment. Operational Teams can leverage the report to manage issues within their responsibility areas, ensuring timely action. By highlighting urgent issues and facilitating communication among stakeholders, this report empowers informed decision-making and efficient resource allocation.

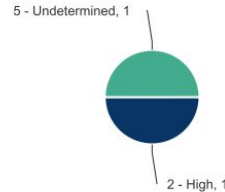
Issue Status



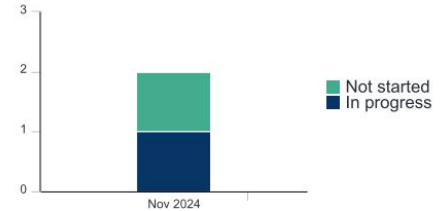
By Business Process



Issue Priority



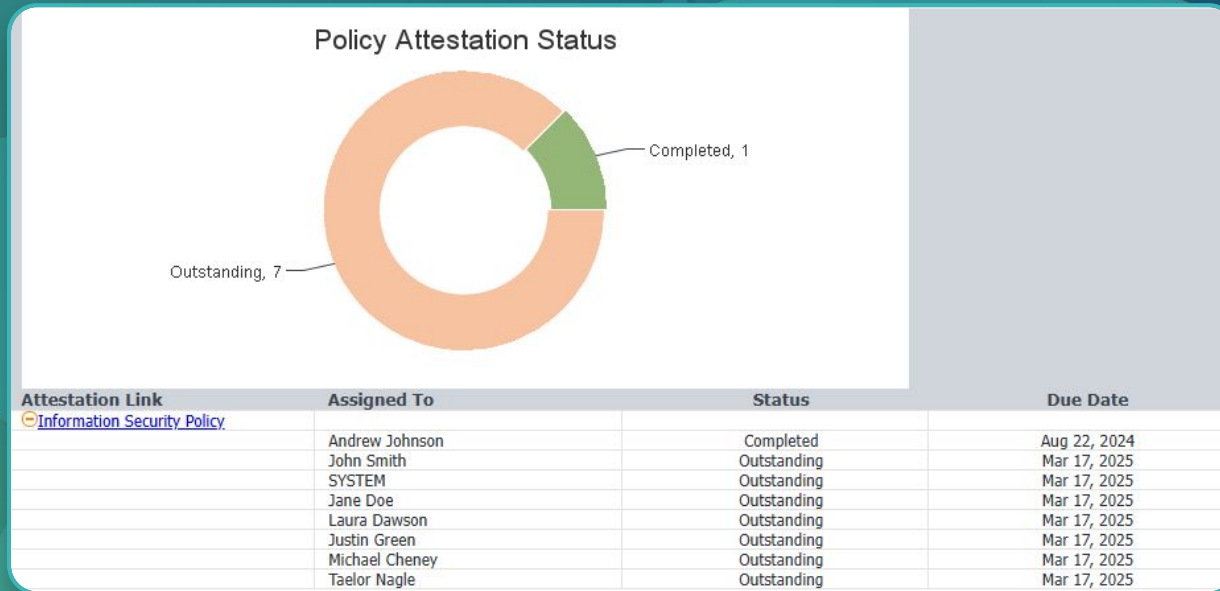
By Creation Date and Status



Issue Name	Related Processes	Plan Name	Source	Description	Assigned To	Due Date	Status
Roles not adequately described	Human Resources	Accounts Payable	People > Authority and Responsibility > Unclear roles and responsibilities	Role descriptions do not clearly outline the responsibilities, required skills, and experience necessary for the roles	HR	November 29, 2024	In progress
Unauthorized Access to Confidential Client Data	Data Privacy; Human Resources	Human Resources	People > Ethics and Integrity > Misuse of confidential information		Taylor Konareski	November 29, 2024	Not started

Policy Attestation Status Report

The Policy Attestation Status Report offers a comprehensive view of the completion status of policy attestations across the organization. Designed for Compliance Officers, Risk Managers, Human Resources, and Internal Auditors, this report ensures that employees have acknowledged and agreed to organizational policies, a key element in maintaining compliance and reducing risks. It tracks overdue attestations, monitors progress, and provides an audit trail for policy acknowledgment. By identifying gaps and supporting policy adherence, this report enables organizations to uphold regulatory standards and internal governance



Risk Library without Related Business Processes Report

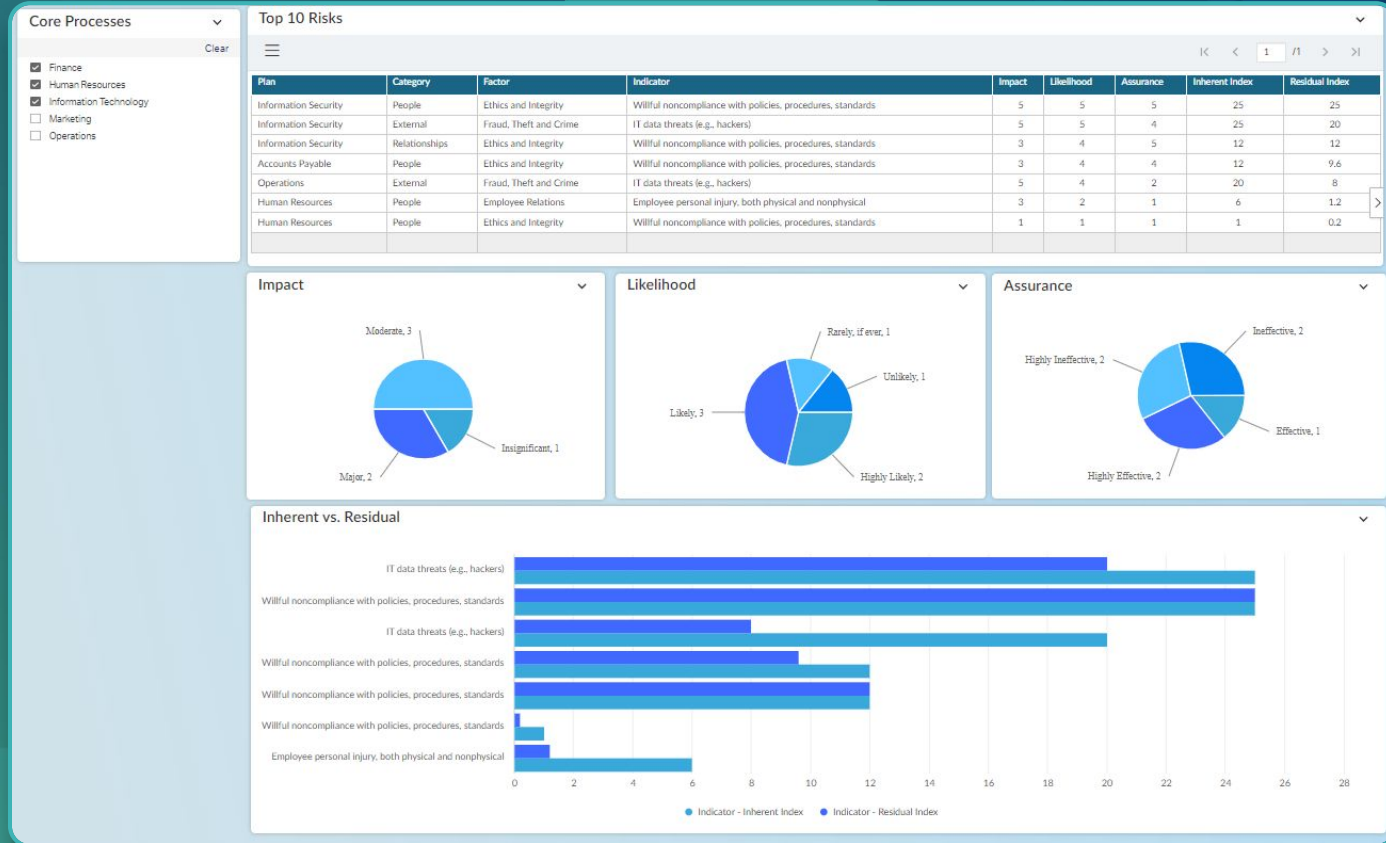
This report identifies risks within the organization that are not linked to any business processes. This valuable tool assists Risk Managers, Analysts, Compliance Officers, and Internal Auditors in pinpointing gaps in risk management practices. By highlighting unlinked risks, the report ensures that all identified risks are properly integrated into business processes. This comprehensive approach helps organizations enhance their understanding of risk ripple effects, align risks with operational priorities, and close potential gaps in risk management.

Category	Factor	Indicator	Plans Used In
Process	Authority and Responsibility Designation	Authorization is not approved in writing	Accounts Payable
Process	Change Management	Inadequate review and approval by key stakeholders	Accounts Payable
Process	Documentation and Reporting	Improper forms and documents	Accounts Payable
Process	Documentation and Reporting	Inability to provide required material or documentation	Accounts Payable
Process	Documentation and Reporting	Inadequate retention and destruction guidelines	Accounts Payable
Process	Documentation and Reporting	Lack of required or verifiable documentation	Accounts Payable
Process	Monitoring, Oversight and Metrics	Inadequate or insufficient written policies and procedures for monitoring/reviewing	Human Resources
Process	Monitoring, Oversight and Metrics	Inadequate safeguarding of assets	Accounts Payable
Process	Workflow	Changing workflow requirements	Accounts Payable
Relationships	Contracts	Problematic contractual terms and agreements	Accounts Payable
Relationships	Management, Supervision, and Collaboration	Rights and responsibilities of each party are not clearly defined	Accounts Payable, Operations
Systems	Technology Strategy and Application	System crash or software failure	Information Security

Risk Assessment Dashboard

The Risk Assessment Dashboard provides a summary-level view of the enterprise by displaying assessments in various heat maps, charts, and summary tables.

Risk managers, compliance officers, and executives can use these insights to adapt strategies, allocate resources effectively, and ensure that risk management efforts align with organizational goals.



Risk Assessment Report

Tailored for Department Heads, Plan Owners, or Directors, the Risk Assessment Report offers insights into respective risk environments. It provides a detailed narrative format of a risk assessment, walking through each risk individually.

Core Process	Plan	Category	Factor	Indicator	What can go wrong?	Impact Score	Impact Reasoning	Likelihood Score	Likelihood Reasoning	Assurance Score	Assurance Reasoning	Inherent Index	Residual Index	Evaluate	Evaluate Reasoning
Finance	Information Security	People	Ethics and Integrity	Willful noncompliance with policies, procedures, standards	This can lead to non-compliance, fines, and lawsuits	5	Liability threats challenge the going concern status of the organization	5	Staff has little or no experience, skills, training, certifications	5	No controls in place	25.0	25.0	Avoid	Avoid this risk because it can impact our compliance posture
	Information Security	Relationships	Ethics and Integrity	Willful noncompliance with policies, procedures, standards		3		4		5		12.0	12.0		
	Accounts Payable	People	Ethics and Integrity	Willful noncompliance with policies, procedures, standards	Willful noncompliance with policies, procedures, and standards can result in regulatory violations, legal liabilities, financial penalties, reputational harm, and erosion of stakeholder trust for a business.	3	Significant liability (e.g., lawsuits) potential	4	Staff has little or no experience, skills, training, certifications	4	Key controls that mitigate the risks are primarily automated and hybrid	12.0	9.6	Avoid	Avoid- Organizational values and policies require both short-, mid- and long-term benefit

Risk Control Matrix Report

Designed for Chief Risk Officers, this report gives a detailed overview of each risk indicator, including its scoring, reasoning, and related mitigations. It is optimized to be exported into formats like Excel or PDF for easy data manipulation or presentation.

Core Process	Plan	Category	Factor	Indicator	What can go wrong?	Impact Score	Likelihood Score	Assurance Score	Inherent Index	Residual Index	Control(s)
Finance	Accounts Payable	People	Ethics and Integrity	Willful noncompliance with policies, procedures, standards	Willful noncompliance with policies, procedures, and standards can result in regulatory violations, legal liabilities, financial penalties, reputational harm, and erosion of stakeholder trust for a business.	3	4	4	12.0	9.6	Training and Development Oversight; Policy Management
Marketing	Human Resources	External	Economic, Market and Business Environment	Competition from new or existing businesses	Competition from new or existing businesses can lead to loss of market share, decreased revenue, pricing pressures, innovation challenges, and the need for strategic adaptation for a business.	1	2	3	2.0	1.2	Annual Competitor Analysis
Human Resources	Human Resources	People	Employee Relations	Employee personal injury, both physical and nonphysical	Employee personal injury, both physical and nonphysical, can result in worker's compensation claims, legal liabilities, decreased productivity,	3	2	1	6.0	1.2	

Top Risks Report

The Top Risks Report is particularly valuable for roles such as risk managers, compliance officers, and executives who need to prioritize risk management efforts and ensure that the most critical risks are being addressed. It provides a focused view of the most significant risks, either by plan or across the enterprise, enabling effective resource allocation and informed risk mitigation decisions.

Top 10 Risks by Residual Index

* If there are risks with the same Residual Index, more than 10 risks may appear

Core Process	Plan	Category	Factor	Indicator	What can go wrong?	Impact Score	Likelihood Score	Assurance Score	Inherent Index	Residual Index	Evaluate
Finance	Information Security	People	Ethics and Integrity	Willful noncompliance with policies, procedures, standards	This can lead to non-compliance, fines, and lawsuits	5	5	5	25.0	25.0	Avoid
Information Technology	Information Security	External	Fraud, Theft and Crime	IT data threats (e.g., hackers)	IT data threats, such as hackers, can lead to data breaches, loss of sensitive information, financial losses, legal liabilities, damage to reputation, and disruptions to business operations for a company.	5	5	4	25.0	20.0	Avoid
Operations	Information Security	Process	Monitoring, Oversight and Metrics	Lack of cyber security incident response policy	Inability to resource to BAU- this can impact customers and employees	5	4	4	20.0	16.0	Avoid
Marketing	Operations	External	Economic, Market and Business Environment	Competition from new or existing businesses	Competition from new or existing businesses can lead to market share erosion, decreased revenue, pricing pressures, innovation challenges, and the need for strategic adaptation and differentiation for a business to remain competitive.	5	5	3	25.0	15.0	

Task Report

Ideal for Team or Department leads, this report helps monitor the status of tasks, allowing for easy tracking of progress and ensuring timely completion of objectives.

Task Name	Task Type	Source	Assigned To	Delegated By	Creation Date	Due Date	Task Status	Completion Date	Days Until Due	Days Overdue
Auto Created Task APP - bd9ad	Profile	Auto Task Test - APP	Auto Mation01	Auto Mation01	6/24/19	8/24/30	In Progress		2,194	
Auto Created Task APP - 81db6	Profile	Auto Task Test - APP	Auto Mation01	Auto Mation01	6/24/19	8/24/30	In Progress		2,194	
Auto Created Scoring Task AUDITS - c293f	Scoring	Auto Task Test - AUDITS	Automation Users	Auto Mation02	8/19/19	8/24/30	New		2,194	
Auto Taxonomy Profile Task Regression - 199f0	Profile	task creation testing	Automation Users	Auto Mation02	12/9/19	8/24/30	Completed	12/9/19		
Auto Created Scoring Task AUDITS - 2fdd1	Scoring	Auto Task Test - AUDITS	Automation Users	Auto Mation02	8/20/19	8/24/30	New		2,194	
Auto Created Scoring Task AUDITS - 07bc8	Scoring	Auto Task Test - AUDITS	Automation Users	Auto Mation02	8/20/19	8/24/30	New		2,194	
Auto Created Scoring Task AUDITS - ccb1f	Scoring	Auto Task Test - AUDITS	Automation Users	Auto Mation02	8/20/19	8/24/30	New		2,194	
Auto Scoring Task - Policies - b0ff8 - 20200203_162902	Scoring	Auto - Policies - Task Test (68942)	Automation Users	Auto Mation02	2/3/20	8/24/30	New		2,194	
Auto Created Scoring Task AUDITS - 4bb93	Scoring	Auto Task Test - AUDITS	Automation Users	Auto Mation02	8/20/19	8/24/30	New		2,194	
Auto Created Scoring Task CP - 02cec	Scoring	Auto Task Test - CP	Automation Users	Auto Mation01	7/23/19	8/24/30	New		2,194	
Auto Created Scoring Task CP - d283a	Scoring	Auto Task Test - CP	Automation Users	Auto Mation01	8/16/19	8/24/30	New		2,194	
Auto Taxonomy Profile Task Regression - e207e	Profile	task creation testing	Automation Users	Auto Mation02	12/9/19	8/24/30	Completed	12/9/19		
Auto Taxonomy Profile Task Regression - c3f7d	Profile	task creation testing	Automation Users	Auto Mation02	12/9/19	8/24/30	Completed	12/9/19		
Auto Taxonomy Profile Task Regression - 328ba	Profile	task creation testing	Automation Users	Auto Mation02	12/9/19	8/24/30	Completed	12/9/19		
Auto Taxonomy Profile Task Regression - 73b20	Profile	task creation testing	Automation Users	Auto Mation02	12/9/19	8/24/30	Completed	12/9/19		

Readiness Crosswalk Report

The Readiness Crosswalk report allows Business Leaders, Executives, and Process Owners to evaluate the alignment of readiness assessments for a specific business process.

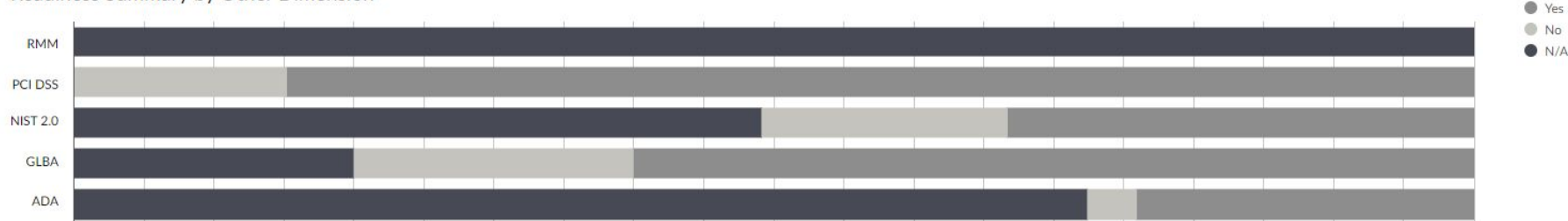
This side-by-side comparison of evaluations from different sources uncovers gaps and areas of misalignment, ensuring more effective strategic decision-making and targeted improvements to enhance process readiness and resource allocation.



Readiness Summary Report

The Readiness Summary report helps Compliance Officers, Internal Auditors, and Senior Management identify and prioritize compliance gaps across the organization. With the “Percent Compliant” metric, you can quickly gauge how well your organization adheres to key standards or regulations. This is essential for understanding where to focus corrective actions, communicating progress to stakeholders, and ensuring continuous compliance improvement.

Readiness Summary by Other Dimension



Other Dimension	Plan Name	Percent Compliant	Total Requirements	Count of Requirements Met	Count of Requirements Not Met
ADA		24.14%	29	7	22
	Americans with Disabilities Act 28 C.F.R. §36		29	7	22
GLBA		60.00%	5	3	2
	GLBA Safeguards Rule		5	3	2
NIST 2.0		33.33%	57	19	38
	NIST Cybersecurity Framework 2.0 (Govern & Identify)		57	19	38
PCI DSS		84.85%	33	28	5
	PCI SAQ A		33	28	5
RMM		0.00%	25	0	25
	Corporate Governance		25	0	25

Readiness Survey Report

The Readiness Survey report provides insights into compliance and IT governance, helping Compliance Officers, Executives, and Department Heads identify gaps and allocate resources more effectively. This report organizes assessments by regulations or frameworks and supports strategic planning for risk management, aligning compliance initiatives with overall business objectives to ensure resource allocation meets critical needs.

Choose Business Process(es)

- ADA
- Adoption of ERM-based Approach
- Business Resiliency and Sustainability
- Compliance
- Credit

Business Process	Plan Name	Factor	Indicator	Survey	What do we do currently?	Control(s)
NIST 2.0	NIST Cybersecurity Framework 2.0 (Govern & Identify)	01. Organizational Context (GV.OC)	GV.OC-01: The organizational mission is understood and informs cybersecurity risk management	Yes	Share the organization's mission- This is included in our handbook (e.g., through vision and mission statements, marketing, and service strategies) to provide a basis for identifying risks that may impede that mission	Risk Assessments; Employee Training and Awareness; Training and Development Oversight; Organization's Mission
NIST 2.0	NIST Cybersecurity Framework 2.0 (Govern & Identify)	01. Organizational Context (GV.OC)	GV.OC-02: Internal and external stakeholders are understood, and their needs and expectations regarding cybersecurity risk management are understood and considered	Yes	We have conducted employee training for internal stakeholders as it relates to their expectations of managing cyber security risk.	Business Continuity Planning; Disaster Recovery Planning; Employee Training and Awareness; Training and Development Oversight
NIST 2.0	NIST Cybersecurity Framework 2.0 (Govern & Identify)	01. Organizational Context (GV.OC)	GV.OC-03: Legal, regulatory, and contractual requirements regarding cybersecurity — including privacy and civil liberties obligations — are understood and managed	Yes	We are using LogicManager to track regulatory changes so we can take action quickly. In addition, we are also conducting gap assessments on all of our key regs/laws/frameworks that apply to us.	Policy Management; Change Management; Compliance Monitoring and Reporting

Third Party Risk and Criticality Report

The Third Party Risk and Criticality Report provides insights into the criticality and risk associated with third-party engagements. Its primary purpose is to aid third-party relationship owners in managing and mitigating risks related to third-party interactions effectively. This report is particularly valuable for third-party relationship owners, who play a crucial role in overseeing these engagements and ensuring that associated risks are appropriately managed.

Relationship Owner	Third Party	True Risk Score	Could this vendor cause our operations to cease?	Does this vendor provide deeply embedded programming / infrastructure support that cannot be readily duplicated at another supplier?	Could this vendor cause us to miss client SLAs and incur fines?
John Smith	Rapid 7	4.66	Yes	Yes	Yes
	Atlassian	4.33	Yes	Yes	Yes
	Workday	2.78	No	Yes	No
	Salesforce	2.73	No	Yes	No
	LogicManager	1.68	No	No	No
	Office Depot	0.85	No	No	No
	Microsoft	0.78	No	No	No
	Google	0.78	No	No	No

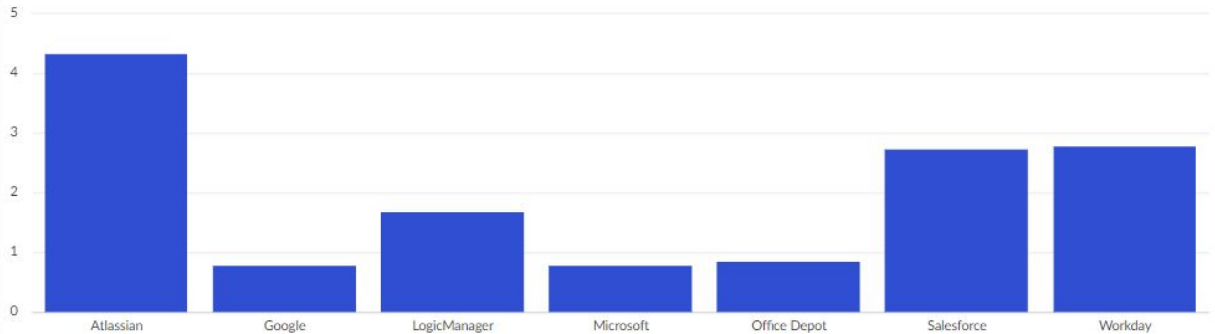
Third Party Risk Management Dashboard

The Third Party Risk Management Dashboard is designed to provide a comprehensive view of third-party risks by reflecting third-party inventory in three different views: by criticality, inherent risk, and residual risk. Its purpose is to help organizations manage and mitigate risks associated with third-party engagements effectively. The dashboard is particularly valuable for roles such as board members, risk managers, and third-party relationship owners, who need to understand the risk landscape and ensure that the organization is effectively managing third-party risks.

Core Process

- Accounts Payable
- Advertising & Communications
- Data Privacy
- Employee Benefits
- Employee onboarding & Training
- Event Management & Incident Response
- Finance
- Human Resources
- ICFR
- Information Security
- Information Technology
- Marketing
- Marketing Compliance
- Operational Risk Management

True Risk Score

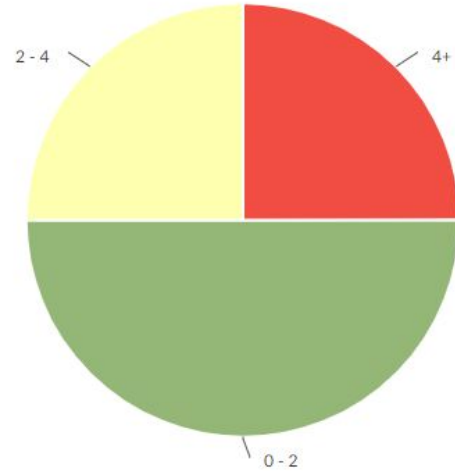


Third Party True Risk Report

The Third Party True Risk Report highlights potential risks and vulnerabilities in third-party relationships.

Board members, risk managers, and third-party relationship owners can use these insights to take proactive measures to mitigate third-party risks and ensure organizational resilience.

True Risk Score

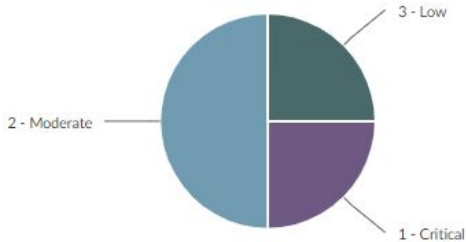


Core Process	Third Party	True Risk Score
⊖ Accounts Payable	Atlassian	4.33
	Workday	2.78
	Salesforce	2.73
	LogicManager	1.68
	Office Depot	0.85
	Microsoft	0.78
	Google	0.78
⊖ Advertising & Communications	Atlassian	4.33
	Salesforce	2.73
	Office Depot	0.85
	Google	0.78

Third Party Spend Report

The Third Party Spend Report provides a comprehensive view of third-party contracts to identify how these relationships are being managed, where funds are being allocated, and whether vendor spend aligns with critical business functions. This report is particularly valuable for financial analysts, procurement officers, and risk managers who need to ensure that third-party expenditures are justified and aligned with organizational priorities.

Tier



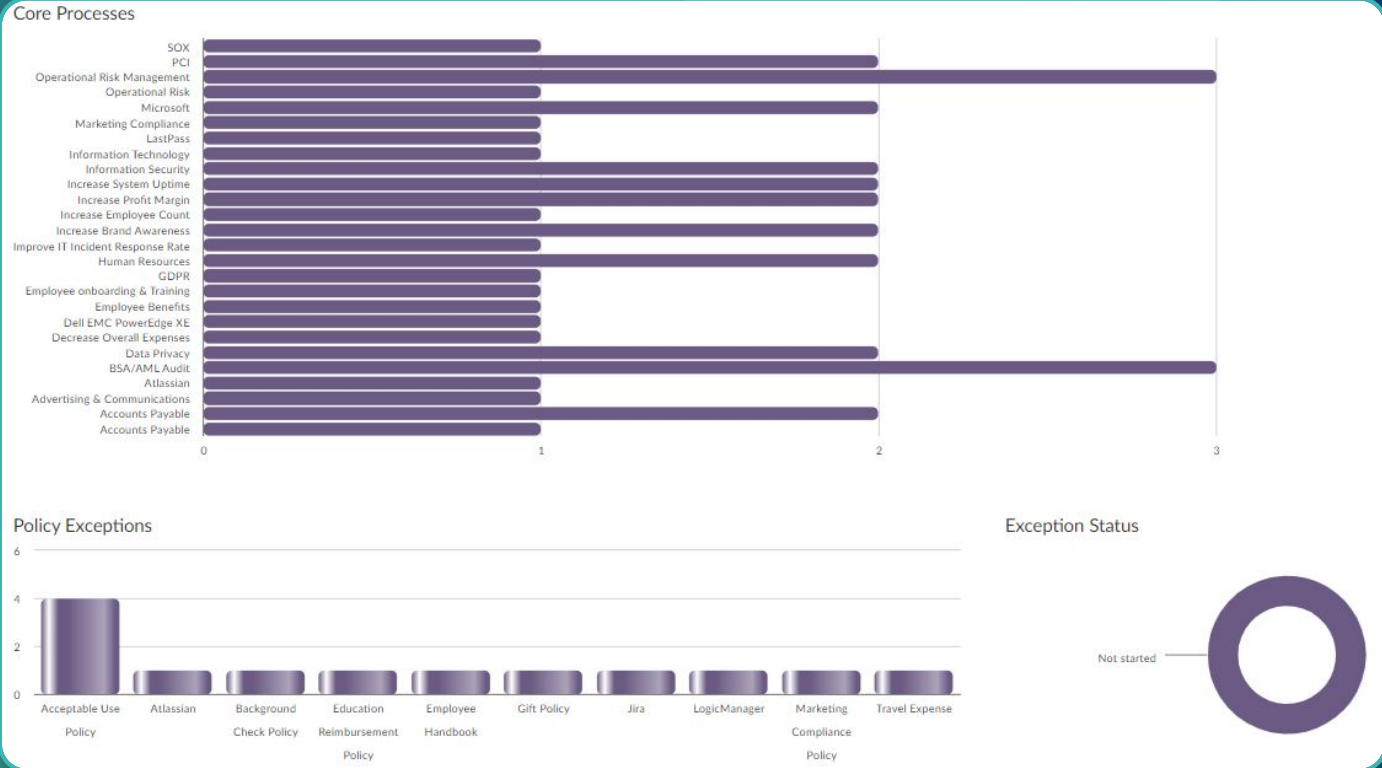
Third Party	Annual Contract Value
Atlassian	\$70,000.00
Salesforce	\$50,000.00
Workday	\$30,000.00

Third Party	Contract	Type of Agreement (select all that apply)	Contract Begin Date	Contract End Date	Days Until Contract Ends	Contract Term in Years	Auto-renewal?	Notice Requirements
Salesforce	Contract	Amendment/Addendum	Apr 1, 2024	Apr 1, 2027	934.00	5	No	
Workday	Contract - MSA	Master Services Agreement	Dec 1, 2023	Dec 1, 2024	83.00	1	No	
Atlassian	Contract- MSA	Master Services Agreement	Aug 1, 2023	Aug 1, 2024	-39.00	4	Yes	30 days

Policy Management Overview Report

The Policy Management Overview Report helps organizations centrally manage the storage, maintenance, and review periods of all policies, promoting consistency and compliance across the board.

This report is valuable for compliance officers, policy managers, and risk managers who need to ensure that policies are up-to-date, effectively communicated, and aligned with regulatory requirements.



Internal Audit Planning and Scoping Report

The Internal Audit Planning and Scoping Report provides detailed insights including references, top risks, and controls, ensuring proper scoping, risk assessment, and resource allocation for effective audit execution.

This report is particularly valuable for roles such as audit managers, compliance officers, and risk managers who are responsible for ensuring that audits are efficiently run, focusing resources on areas with the most significant impact on the organization's objectives.

Audit Planning						
Year - Quarter - Audit	Objective of the Audit	Scope of the Audit	Start Date	End Date		
2024 Audits • Q1 • Accounts Payable	Objective of the Audit	Scope of the Audit	Jan 1, 2024	Mar 31, 2024		
Audit Scoping						
Business Processes and Resources				Name		
Business Processes				Accounts Payable		
Top 10 Risks * If there are risks with the same Residual Index, more than 10 risks may appear						
Risk	What can go wrong?	Impact	Likelihood	Assurance	Inherent Index	Residual Index
Collusion with other employees, customers, suppliers, etc.		3	3	4	9.00	7.20
Theft, extortion, forgery and other criminal activities		5	5	1	25.00	5.00
Information data and infrastructure threats		4	1	3	4.00	2.40
Inadequate accountability for and enforcement of policies and procedures		2	2	3	4.00	2.40
Inadequate review and approval by key stakeholders		3	1	3	3.00	1.80
Authorization is not approved in writing		3	3	1	9.00	1.80
Inadequate retention and destruction guidelines		4	1	1	4.00	0.80
Improper forms and documents		2	1	2	2.00	0.80
Inability to provide required material or documentation		1	1	4	1.00	0.80
Lack of required or verifiable documentation		2	1	1	2.00	0.40
Changing workflow requirements		1	2	1	2.00	0.40
Inadequate safeguarding of assets		1	2	1	2.00	0.40
Controls						
Control	Key Control?					
Segregation of duties between employees	Yes					
Access Control	Yes					
Mandatory vacation period for employees	No					
Invoice Verification and Matching	No					

Internal Audit Fieldwork Report

The Internal Audit Fieldwork Report helps internal audit teams systematically evaluate control effectiveness, monitor compliance efforts, and identify areas for improvement.

This report is particularly valuable for internal audit personnel, compliance officers, and risk managers who need to ensure that controls are operating effectively and that audit findings are accurately documented and addressed.

Year - Quarter - Audit		Objective of the Audit		Scope of the Audit		
2024 Audits • Q1 • Accounts Payable		Objective of the Audit		Scope of the Audit		
Audit Tasks						
Task Name			Task Status			
Audit Findings						
Finding Name		Subject		Reported On		
Issue and Finding Form		Inadequate Data Subject Access Request (DSAR) Handling		9/3/24		
Top 10 Risks						
* If there are risks with the same Residual Index, more than 10 risks may appear						
Risk	What can go wrong?	Impact	Likelihood	Assurance	Inherent Index	Residual Index
Collusion with other employees, customers, suppliers, etc.		3	3	4	9.00	7.20
Theft, extortion, forgery and other criminal activities		5	5	1	25.00	5.00
Information data and infrastructure threats		4	1	3	4.00	2.40
Inadequate accountability for and enforcement of policies and procedures		2	2	3	4.00	2.40
Inadequate review and approval by key stakeholders		3	1	3	3.00	1.80
Authorization is not approved in writing		3	3	1	9.00	1.80
Inadequate retention and destruction guidelines		4	1	1	4.00	0.80
Improper forms and documents		2	1	2	2.00	0.80
Inability to provide required material or documentation		1	1	4	1.00	0.80
Lack of required or verifiable documentation		2	1	1	2.00	0.40
Changing workflow requirements		1	2	1	2.00	0.40
Inadequate safeguarding of assets		1	2	1	2.00	0.40
Control Testing						
Control	Key Control?	Last Completed Result Conclusion		Conclusion Notes		
Access Control	Yes	Pass				
Invoice Verification and Matching	No	Fail				

How to Get Started With Configurable Reports

These out-of-the-box reports are designed to help you stay ahead of risks, streamline your processes, and make more informed decisions. Ready to try them out?

Find them in the LogicManager Report Portal:
Documents > LogicManager Reports > LM 2.0 Reports

If you need help, **LMX – your AI-powered sidekick** – is standing by to guide you. We can't wait to see how you'll use these reports to make an impact!



Not a current LogicManager customer? Speak with one of our experts to learn how LogicManager can transform your risk management program.

[Request a Demo](#)